IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Andrea Caprara et al.

Application No.: NEW

Filed: HEREWITH

For: HIGH-POWER EXTERNAL-CAVITY

OPTICALLY-PUMPED

SEMICONDUCTOR LASERS

Group Art Unit: Unknown

Examiner: Unknown

INFORMATION DISCLOSURE **STATEMENT**

121 Spear Street, Suite 290 San Francisco, CA 94105

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M/S PATENT APPLICATION Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

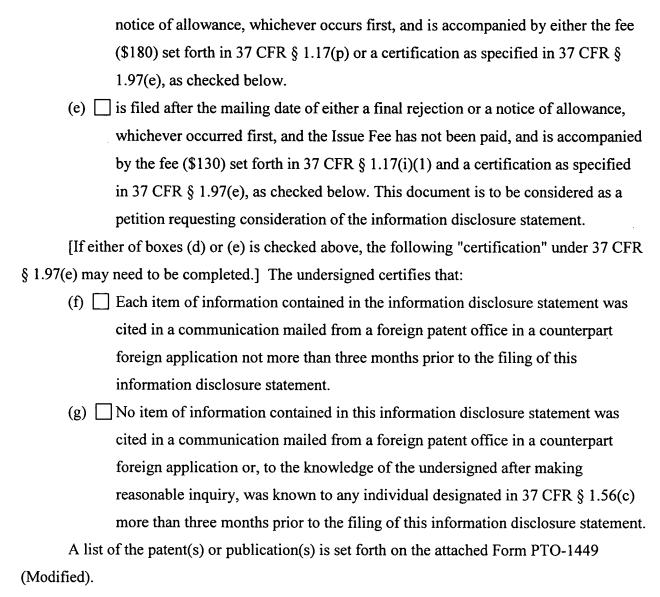
Applicant(s) submit(s) herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe(s) may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

| (a) | accompanies the new patent application submitted herewith. 37 CFR § 1.97(a). |
|-----|--|
| (b) | is filed within three months after the filing date of the application or within three |
| | months after the date of entry of the national stage of a PCT application as set |
| | forth in 37 CFR § 1.491. |
| (c) | as far as is known to the undersigned, is filed before the mailing date of a first |
| | Office Action on the merits, or before a first office action after filing a Request |
| | for Continued Examination under §1.114. |
| (d) | is filed after the first office action and more than three months after the |
| | application's filing date or PCT national stage date of entry filing but, as far as is |

known to the undersigned, prior to the mailing date of either a final rejection or a

Atty Docket No.: COHL-3643



A copy of the items on PTO-1449 (Modified) is supplied herewith, except as noted below.

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they are (a) either U.S. Patents and this an application filed after June 30, 2003, or (b) were previously cited by or submitted to the Office in a prior application nos. 10/180,825, filed June 26, 2002, 09/938,226, filed August 23, 2001, and 09/263,325, filed March 5, 1999, and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

(k) not given

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| -9- |
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| (l) given for each listed item |
| (m) given for only non-English language listed item(s) [Required] |
| (n) is in the form of an English language copy of a Search Report from a foreign |
| patent office, issued in a counterpart application, which refers to the relevant |
| portions of the references [copy attached]. |
| The Examiner is reminded that a "concise explanation of the relevance" of the submitted |
| items "may be nothing more than identification of the particular figure or paragraph of the patent |
| or publication which has some relation to the claimed invention," MPEP § 609. |
| While the information and references disclosed in this Information Disclosure Statement |

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

Respectfully submitted,

STALLMAN & POLLOCK LLP

Dated: October 2, 2003

Michael A. Stallman Reg. No. 29,444

Attorneys for Applicant(s)

Atty Docket No.: COHL-3643

Docket Number (Optional) COHL-3643 Application Number NEW Applicant(s) Andrea Caprara et al. Filing Date HEREWITH **Group Art Unit**

Unknown

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | REF | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE |
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| Examiner | Date Considered | | | | |
|--|-----------------|--|--|--|--|
| | | | | | |
| Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if | | | | | |
| not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | |